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13003 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8003
First Inventor	David Allen Schul, et al.
Assignee	The Procter & Gamble Co.
Title	Sterol Ester Compositions
Express Mail Label No.	EK208069132US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
 Box Patent Application  
 Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original, and a duplicate for fee processing)*
2. ☐ Applicant claims small entity status  
*(see 37 CFR §1.27)*
3. ☒ Specification Total Pages [40]  
*(preferred arrangement set forth below)*
- Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings *(if filed)*
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 USC §113) Total Sheets [ ]
5. Oath or Declaration Total pages [2]
- a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
*(for continuation/divisional with Box 18 complete)*
  - i. ☐ DELETION OF INVENTORS  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR §1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
- a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney *(when there is an assignee)*
11. ☐ English Translation Document *(if applicable)*
12. ☐ Information Disclosure ☐ Copies of IDS Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically retimized)*
15. ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 1

Prior application information:

Examiner:

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

[ ] Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

NAME	Melody A. Jones				
ADDRESS	The Procter & Gamble Co.				
CITY	6071 Center Hill Avenue				
COUNTRY	Cincinnati	STATE	Ohio	ZIP CODE	45224
	USA	TELEPHONE	(513) 634-6944	FAX	(513) 634-3752

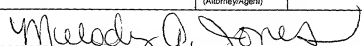
Name (Print/Type)	Melody A. Jones	Registration No. (Attorney/Agent)	44,175
Signature	<i>Melody A. Jones</i>	Date	March 20, 2001

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<b>FEE TRANSMITTAL</b> <b>for FY 2001</b> Patent fees are subject to annual revision.	<b>Complete if Known</b>	
	Application Number	
	Filing Date	
	First Named Inventor	David Allen Schul, et al.
	Examiner Name	
	Group/Art Unit	
<b>TOTAL AMOUNT OF PAYMENT (\$)</b> 2,276.00	Attorney Docket No.	8003

<b>METHOD OF PAYMENT (check one)</b>				<b>FEE CALCULATION (continued)</b>																																																																																																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number <b>16-2480</b> Deposit Account Name <b>The Procter &amp; Gamble Company</b> <input checked="" type="checkbox"/> Charge Any Additional Fee <input type="checkbox"/> Applicant claims small entity status. See 37 CFR §127 Required Under 37 C.F.R. §§1.16 and 1.17				3. <b>ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> <td><input type="checkbox"/></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td><input type="checkbox"/></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> <td><input type="checkbox"/></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td>For filing a request for ex parte reexamination</td> <td><input type="checkbox"/></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td>Requesting publication of SIR prior to Examiner's action</td> <td><input type="checkbox"/></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td>Requesting publication of SIR after Examiner's action</td> <td><input type="checkbox"/></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td>Extension for reply within 1<sup>st</sup> month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td>Extension for reply within 2<sup>nd</sup> month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td>Extension for reply within 3<sup>rd</sup> month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within 4<sup>th</sup> month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td>Extension for reply within 5<sup>th</sup> month</td> <td><input type="checkbox"/></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> <td><input type="checkbox"/></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td><input type="checkbox"/></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> <td><input type="checkbox"/></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td>Petition to institute a public use proceeding</td> <td><input type="checkbox"/></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td>Petition to revive - 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<b>2. EXTRA CLAIM FEES</b> Fee From Extra Claims Below Fee Paid Total Claims [92] - 20** = [72] x [18] = [1296] Independent Claims [2] - 3** = [0] x [0] = [0] Multiple Dependent [270] = [270] ** or number previously paid, if greater; For Reissues, see below				<b>4. BASIC FILING FEE</b> <table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>710</td> <td>201</td> <td>355</td> <td>Utility filing fee</td> <td>[710]</td> </tr> <tr> <td>106</td> <td>320</td> <td>206</td> <td>160</td> <td>Design filing fee</td> <td><input type="checkbox"/></td> </tr> <tr> <td>107</td> <td>490</td> <td>207</td> <td>245</td> <td>Plant filing fee</td> <td><input type="checkbox"/></td> </tr> <tr> <td>108</td> <td>710</td> <td>208</td> <td>355</td> <td>Reissue filing fee</td> <td><input type="checkbox"/></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td><input type="checkbox"/></td> </tr> <tr> <td colspan="5"><b>SUBTOTAL (1)</b></td> <td><b>(\$)[710]</b></td> </tr> </tbody> </table>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee	[710]	106	320	206	160	Design filing fee	<input type="checkbox"/>	107	490	207	245	Plant filing fee	<input type="checkbox"/>	108	710	208	355	Reissue filing fee	<input type="checkbox"/>	114	150	214	75	Provisional filing fee	<input type="checkbox"/>	<b>SUBTOTAL (1)</b>					<b>(\$)[710]</b>																																																																																																																																																
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<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Name (Print/Type)	Melody A. Jones	Registration No. (Attorney/Agent)	44,175
Signature		Telephone	(513) 634-6944
		Date	March 20, 2001

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